

H&M

	Swedbank	Skandia	SEB	Handelsbanken	Länsförsäkringar	Danske Bank	Nordea
Bank score %	28%	22%	19%	6%	6%	0%	0%
Bank score points	100	80	70	20	20	0	0
Max score points	360	360	360	360	360	360	360
Invested amount per 31 March 2018 (MSEK)	4 769 290 552 kr	405 918 316 kr	1 309 193 136 kr	2 234 857 863 kr	807 486 378 kr	443 226 319 kr	3 215 032 864 kr
EUR	463 438 364 EUR	39 443 628 EUR	127 216 054 EUR	217 164 138 EUR	78 464 535 EUR	43 068 896 EUR	312 409 059 EUR
Type of funds	Active, passive, ethical	Active, passive, ethical	Active, passive, ethical	Active, passive, ethical	Active, passive	Active, passive, ethical	Active, passive, ethical
Comment						No response on case-specific questions	No response

Reason if no investment

1 Has the bank presented evidence that the exclusion is due to the company's human rights issues?

No (0 p)

Yes (50 p)

Bank result

n/a

n/a

n/a

n/a

n/a

n/a

n/a

2 Has the bank communicated the exclusion, and the reason for it, to the company?

No (0 p)

Yes (50 p)

Bank result

n/a

n/a

n/a

n/a

n/a

n/a

n/a

3 Is the company on the bank's public list of excluded companies?

No (0 p)

Yes (50 p)

Bank result

n/a

n/a

n/a

n/a

n/a

n/a

n/a

Assessment of the engagement process

1 Had identified the company's supply chain risks linked to living wage?								
No response (0)							0	0
No (0 p)								
Yes (20 p)	20	20	20	20	20	20		
2 Has been in contact with the company about the issue since 2015?								
No response (0)							0	0
No (0 p)					0	0		
Yes (20 p)	20	20	20					
3 Number of dialogues with the company about the issue since 2015?								
No response (0)							0	0
0-2 (0 p)					0	0		
3-9 (10 p)	10		10					
10-19 (30 p)		30						
20 or more (50 p)								
4 Has the bank held physical meetings with the company about the issue since 2015?								
No response (0)			0				0	0
No (0 p)		0		0	0			
Yes (20 p)	20							
5 Are the banks' demands sufficient to make the company act responsibly regarding this issue?								
No response (0)							0	0
No, or lack of clear requests (0 p)		0		0	0			
Partly (20 p)	20		20					
Yes (50 p)								
6 Are the engagement objectives time-bound and measurable?								
No response (0)							0	0
No (0 p)	0	0	0	0	0			
Yes (50 p)								
7 Does the bank report publicly on the engagement process, including activities, objectives and status?								
No response (0)				0			0	0
No (0 p)					0	0		
Only name (10)	10	10						
Partly (20 p)								

Yes (50 p)

8 Does the bank collaborate with other investors to increase its leverage?

No response (0)

No (0 p)

Yes, through service provider's pooled engagement (10 p)

Yes, actively participating in pooled engagement (30 p)

Yes, through active collaboration (50 p)

0 0 0 0 0 0 0 0

9 Is the bank active in any initiative that addresses this sector's handling of living wage?

No response (0)

No (0 p)

Partly (20 p)

Yes (50 p)

0 0 0 0 0 0 0 0

KappAhl

	Swedbank	Handelsbanken	Länsförsäkringar	Danske Bank	Nordea	SEB	Skandia
Bank score %	22%	6%	6%	0%	0%	0%	0%
Bank score points	80	20	20	0	0	0	0
Max score points	360	360	360	360	360	360	150
Invested amount per 31 March 2018 (MSEK)	167 555 470 kr	101 520 784 kr	554 729 kr	634 423 kr	37 846 748 kr	52 328 516 kr	0
	16 281 590 EUR	9 864 911 EUR	53 904 EUR	61 648 EUR	3 677 619 EUR	5 084 832 EUR	-
Type of funds	Active	Active, passive, ethical	Active	Active	Active	Active, passive, ethical	-
Comment		"Handelsbanken is represented in KappAhls nomination committee, the purpose being to ensure that the board has the right composition as well as the right competence (also in regards to ESG-related issues) to lead the company."	"The company is a small holding in one of our funds (<0,1% of the fund) and it is scheduled to be sold out shortly due to changes in the investment strategy of the fund."	No response on case-specific questions	No response	No engagement	No holdings

Reason if no investment

1 Has the bank presented evidence that the exclusion is due to the company's human rights issues?

No (0 p)

Yes (50 p)

Bank result

n/a

n/a

n/a

n/a

n/a

n/a

No

0

2 Has the bank communicated the exclusion, and the reason for it, to the company?

No (0 p)								0
Yes (50 p)								
Bank result	n/a	No						

3 Is the company on the bank's public list of excluded companies?

No (0 p)								0
Yes (50 p)								
Bank result	n/a	No						

Assessment of the engagement process

1 Had identified the company's supply chain risks linked to living wage?

No response (0)				0	0	0	
No (0 p)							
Yes (20 p)	20	20	20				

2 Has been in contact with the company about the issue since 2015?

No response (0)				0	0	0	
No (0 p)		0	0				
Yes (20 p)	20						

3 Number of dialogues with the company about the issue since 2015?

No response (0)				0	0	0	
0-2 (0 p)		0	0				
3-9 (10 p)	10						
10-19 (30 p)							
20 or more (50 p)							

4 Has the bank held physical meetings with the company since 2015?

No response (0)				0	0	0	
No (0 p)		0	0				
Yes (20 p)	20						

5 Are the banks' demands sufficient to make the company act responsibly regarding this issue?

No response (0)				0	0	0	
No, or lack of clear requests (0 p)	0	0	0				
Partly (20 p)							
Yes (50 p)							

6 Are the engagement objectives time-bound and measurable?

No response (0)					0	0	0
No (0 p)	0	0	0				
Yes (50 p)							

7 Does the bank report publicly on the engagement process, including activities, objectives and status?

No response (0)					0	0	0
No (0 p)		0	0				
Only name (10)	10						
Partly (20 p)							
Yes (50 p)							

8 Does the bank collaborate with other investors to increase its leverage?

No response (0)					0	0	0
No (0 p)	0	0	0				
Yes, through service provider's pooled engagement (10 p)							
Yes, actively participating in pooled engagement (30 p)							
Yes, through active collaboration (50 p)							

9 Is the bank active in any initiative that addresses this sector's handling of living wage?

No response (0)						0	0
No (0 p)	0	0	0	0			
Partly (20 p)							
Yes (50 p)							

Lindex (Stockmann)

	Handelsbanken	Danske Bank	Nordea	Swedbank	Skandia	Länsförsäkringar	SEB
Bank score %	6%	0%	0%	0%	0%	0%	0%
Bank score points	20	0	0	0	0	0	0
Max score points	360	360	360	150	150	150	150
Invested amount per 31 March 2018 (MSEK)	296 229 kr 28 785 kr	9 402 258 kr 913 630 kr	12 983 959 kr 1 261 669 kr	0	0	0	0
Type of funds	Passive, ethical	Active	Active	-	-	-	-
Comment		No response on case-specific questions	No response	No holdings	No holdings	No holdings	No holdings, no response

Reason if no investment

1 Has the bank presented evidence that the exclusion is due to the company's human rights issues?

No (0 p)

Yes (50 p)

Bank result

No (0 p)				0	0	0	0
Yes (50 p)							
Bank result	n/a	n/a	n/a	No	No	No	No response

2 Has the bank communicated the exclusion, and the reason for it, to the company?

No (0 p)

Yes (50 p)

Bank result

No (0 p)				0	0	0	0
Yes (50 p)							
Bank result	n/a	n/a	n/a	No	No	No	No response

3 Is the company on the bank's public list of excluded companies?

No (0 p)

Yes (50 p)

Bank result

No (0 p)				0	0	0	0
Yes (50 p)							
Bank result	n/a	n/a	n/a	No	No	No	No response

Assessment of the engagement process

1 Had identified the company's supply chain risks linked to living wage?			
No response (0)		0	0
No (0 p)			
Yes (20 p)	20		
2 Has been in contact with the company about the issue since 2015?			
No response (0)		0	0
No (0 p)	0		
Yes (20 p)			
3 Number of dialogues with the company about the issue since 2015?			
No response (0)		0	0
0-2 (0 p)	0		
3-9 (10 p)			
10-19 (30 p)			
20 or more (50 p)			
4 Has the bank held physical meetings with the company since 2015?			
No response (0)		0	0
No (0 p)	0		
Yes (20 p)			
5 Are the banks' demands sufficient to make the company act responsibly regarding this issue?			
No response (0)		0	0
No, or lack of clear requests (0 p)	0		
Partly (20 p)			
Yes (50 p)			
6 Are the engagement objectives time-bound and measurable?			
No response (0)		0	0
No (0 p)	0		
Yes (50 p)			
7 Does the bank report publicly on the engagement process, including activities, objectives and status?			
No response (0)		0	0
No (0 p)	0		
Only name (10)			
Partly (20 p)			

Yes (50 p)

8 Does the bank collaborate with other investors to increase its leverage?

No response (0)

0

0

No (0 p)

0

Yes, through service provider's pooled engagement (10 p)

Yes, actively participating in pooled engagement (30 p)

Yes, through active collaboration (50 p)

9 Is the bank active in any initiative that addresses this sector's handling of living wage?

No response (0)

0

No (0 p)

0

0

Partly (20 p)

Yes (50 p)

MQ

	Swedbank	Skandia	Handelsbanken	Länsförsäkringar	Danske Bank	SEB	Nordea
Bank score %	28%	6%	6%	6%	0%	0%	0%
Bank score points	100	20	20	20	0	0	0
Max score points	360	360	360	360	360	360	150
Invested amount per 31 March 2018 (MSEK)	64 860 975 kr	1 059 234 kr	3 662 016 kr	170 702 kr	74 181 kr	3 158 543 kr	0
	6 302 628 EUR	102 927 EUR	355 843 EUR	16 587 EUR	7 208 EUR	306 920 EUR	-
Type of funds	Active, ethical	Active	Passive	Active	Passive	Passive, ethical	-
Comment				"The company is a small holding in one of our funds (<0,1% of the fund) and it is scheduled to be sold out shortly due to changes in the investment strategy of the fund."	No response on case-specific questions	No response	No holdings, no response

Reason if no investment

1 Has the bank presented evidence that the exclusion is due to the company's human rights issues?

No (0 p)

0

Yes (50 p)

Bank result

n/a

n/a

n/a

n/a

n/a

n/a

No response

2 Has the bank communicated the exclusion, and the reason for it, to the company?

No (0 p)

0

Yes (50 p)

Bank result

n/a

n/a

n/a

n/a

n/a

n/a

No response

7 Does the bank report publicly on the engagement process, including activities, objectives and status?

No response (0)						0	0
No (0 p)		0	0	0	0		
Only name (10)	10						
Partly (20 p)							
Yes (50 p)							

8 Does the bank collaborate with other investors to increase its leverage?

No response (0)						0	0
No (0 p)	0	0	0	0	0		
Yes, through service provider's pooled engagement (10 p)							
Yes, actively participating in pooled engagement (30 p)							
Yes, through active collaboration (50 p)							

9 Is the bank active in any initiative that addresses this sector's handling of living wage?

No response (0)							0
No (0 p)	0	0	0	0	0	0	
Partly (20 p)							
Yes (50 p)							